



PMB Investment Berhad 199301001702 (256439-D)

(A member of Pelaburan MARA Group)

2nd Floor, Wisma PMB, No. 1A, Jalan Lumut, 50400 Kuala Lumpur

General Line: 03-4145 3800 Customer Services Hotline: 03-4145 3900

Email: clients@pelaburanmara.com.my Website: www.pmbinvestment.com.my

Account No:

Account type: ☐ Cash ☐ EPF

TRANSACTION FORM

☐ Redemption ☐ Cooling-off

☐ Switching

Tick [✓] where appropriate. Please complete in **BLOCK LETTERS** and **BLACK INK** only. **Pre-signing** form are strictly prohibited.

1. PARTICULARS OF UNIT HOLDER

You **MUST** be 18 years and above as at the date of this application. Kindly note that it is **COMPULSORY** to fill in the information below including your **mobile number**, **email address** and **mailing address** as these details will be updated in our records. This will help us provide you with better services in the future.

Note: If there are **NO CHANGES** to the existing registered mobile number, email address and mailing address, please fill in the registered column only and tick on declaration box that there are no changes to the existing registered mobile number, email address and mailing address.

Name

NRIC / Passport No. / Police or Army ID Mobile No. (as registered with PMBI, if any) - Mobile No. (NEW) -

Email (as registered with PMBI, if any) Email (NEW)

Mailing Address (as registered with PMBI, if any) Mailing Address (NEW)

☐ There are no changes to my existing mobile number, email address and mailing address

2. PARTICULARS OF JOINT HOLDER

Name

NRIC / Passport No. / Police or Army ID Mobile No. (as registered with PMBI, if any) - Mobile No. (NEW) -

Email (as registered with PMBI, if any) Email (NEW)

Mailing Address (as registered with PMBI, if any) Mailing Address (NEW)

3. PARTICULARS OF NON-INDIVIDUAL APPLICANT (CORPORATION / PARTNERSHIP / OTHER ENTITIES)

Please provide a certified true copy of Board Resolution/Extract of Minutes of Meeting/Signatories List/Copy of NRIC or Passport (where applicable)

Registration No.

Name

Person to Contact Tel. No. -

4. REDEMPTION/COOLING-OFF REQUEST

☐ Full Redemption/Cooling-off ☐ Partial Redemption
Name(s) of Fund(s) Amount in Units

Reason for Redemption: ☐ Profit Taking ☐ Personal Use:

Credit into account as per details below:
(No payment to third-party account will be accepted)

Bank Name :

Bank Account no.:

5. SWITCHING REQUEST

SWITCH FROM
Name of Fund Amount in Units
SWITCH TO
Name of Fund(s) Percentage (%) of original fund
Distribution Instruction
☐ Reinvest ☐ Cheque/e-Payment
☐ Reinvest ☐ Cheque/e-Payment
TOTAL : 100%

6. DECLARATION & SIGNATURES

I/We have read and fully understood the latest Master Prospectus, Prospectus(es) and any supplemental documents related to the redemption, switching or cooling-off process. I/We are fully aware of the fees and charges that will be incurred, both directly and indirectly and agree to be bound by the Terms and Conditions. By completing this Form, I/we acknowledge and accept that PMB Investment Berhad has absolute discretion to rely on this confirmation form and undertake to indemnify and hold harmless PMB Investment Berhad, its employees and consultants against all costs, expenses, loss of liabilities, claims and demands arising out of this confirmation. I/We fully understand that PMB Investment Berhad will not proceed with the request stated in this form unless the relevant documents are furnished and completed.

Signature/Company Stamp Date Signature/Company Stamp Date

*Signatories for non-individual must be an authorised signatories

For Office Use Only

Submitted by: <input type="checkbox"/> Unit Holder <input type="checkbox"/> Unit Holder <input type="checkbox"/> Joint Holder <input type="checkbox"/> Both Holders <input type="checkbox"/> Consultant (Name, code and mobile number) <input type="text"/>	HQ/BRANCH STAFF Received by: Checked by: Remarks: <input type="text"/>	TRANSACTION SERVICES Checked and Processed by: Remarks: <input type="text"/>
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TERMS & CONDITIONS

REDEMPTION

- **Redemption for Funds other than PMB SCMF**

- For a request to redeem units received or deemed to have been received by us on or before 3.30 p.m. on any Business Day, units will be redeemed at the NAV per unit calculated at the end of Business Day on which the request is received ("forward pricing").
- Where the repurchase request is received after the cut-off time, i.e. after 3.30 p.m., the request will be deemed to have been received on the next Business Day.
- Payment will be made within 7 Business Days of the receipt of redemption request, provided that all required documents are completed and verified.
- The proceeds of payment will be directly credited to your bank account via online banking services or telegraphic transfer.
- We reserve the right to vary the terms and conditions of redemption mode from time to time, which shall be communicated to you in writing.

- **Redemption for PMB SCMF**

- Where a repurchase request is received on or before 3.30 p.m. on a Business Day, units will be redeemed at the NAV per unit calculated at the end of the Business Day on which the request is received. The redemption proceeds will be paid within 4 Business Day.
- Where a repurchase request is received after 3.30 p.m. on a Business Day, the request is deemed to have been received on the next Business Day and accordingly units will be redeemed at the NAV per unit calculated at the end of the next Business Day.
- In the event of any technical difficulties beyond the Manager's control or should the redemption request in the sale of units cannot be liquidated at an appropriate price or on adequate terms and is as such not in the interest of the existing Unit Holders, redemption monies may be paid at such other period or as may be permitted by the relevant authorities from time to time.

- **Redemption related to EPF-MIS**

- Redemption proceeds will be paid to the EPF and to be credited into your EPF account 1.

- **General**

- You may redeem your investment wholly or partially. There is no minimum number of units for redemption but you must meet the minimum holding of units after a repurchase transaction. If you insist on making a repurchase request knowing that after the transaction you will hold less than minimum holdings of units, we may withdraw all your units and we will pay the proceeds to you. We may, with the consent of the Trustee, reserve the right to defer your purchase request if such transaction would adversely affect the Fund or the interest of the Unit Holders.
- The value of the repurchase/ redemption price will be the NAV per unit at the end of the business day on which the request for repurchase/ redemption of units is received by us.
- No restriction is set on the frequency of redemption. For certificate holders, you can only redeem your units upon surrendering the unit certificate(s).
- No redemption fee is charged. However, bank charges and other bank fees, if any, will be borne by the Unit Holder.
- Third-party withdrawal is PROHIBITED. The account holder's name for the Bank Account provided MUST be the same as the name of the investor. PMB Investment will only make payment to the client's account.
- If the units are held in the names of more than one Unit Holder, where the mode of holding is specified as "Joint Application", redemption request will have to be signed by all the joint holders unless the joint applicant is a minor.
- All redemption proceeds will be made payable only to the principal unit holder, unless there is a request by the principal unit holder that the proceeds be made payable to the joint holder

SWITCHING

- **Applicable to all Funds**

- You may switch wholly or partially your investment in the Fund to other funds managed by us provided that units intended to be switched are
- denominated in the same currency. Cross currency switching is not permitted.
- Your switching request should reach us on or before 3.30 p.m. on a business day to be effected on the same business day. Any request after the cut-off time will be effected on the next business day.
- Processing is subject to receipt of a complete transaction form and such other documents as may be required by us. Unit Holders are required to enclose the certificate of investment (if any) upon switching.
- The minimum units you can switch is 1,000 units per transaction or such other minimum number of units as the Manager may determine from time to time.
- The Manager does not impose any switching fee. However, if the amount of sales charge of the fund (or class) that the Unit Holder intends to switch into is higher than the sales charge imposed by the fund (or class) being switched from, then the difference in the sales charge between the two (2) funds (or class) shall be borne by the Unit Holder.
- In addition, you shall be liable to pay any Tax imposed on you by virtue of any law, regulation, directive or order by any governmental authority in relation to the switching fee.
- After a switching application is made, units in a Unit Holder's account for both the Fund and the fund to be switched into should maintain the minimum holding of units.
- If the units in the Unit Holder's account for the Fund are less than the minimum holding of units after a switching application is made, all units that the Unit Holder holds in the Fund will be switched automatically.
- If the units in the Unit Holder's account for the Fund to be switched into are less than the minimum holdings of units after a switching application is made, the Manager may at its sole discretion disallow switching.

COOLING-OFF

- A first time individual investor with the Manager shall have the right, within 6 Business Days, commencing from the day the completed application is received and accepted by the Manager to withdraw his/her investment in the Fund. However, this cooling-off period does not apply to a staff of the Manager and a person registered with a body approved by the SC to deal in unit trusts.
- The refund pursuant to an exercise of a cooling-off right will be as follows:-
 - a) If the original price of a unit is higher than the price of a unit at the point of exercise of the cooling-off right ("market price"), the market price at the point of cooling-off; or
 - b) If the market price is higher than the original price, the original price at the point of cooling-off.
- The Manager will refund the charges imposed on the day the units were purchased.
- The money payable out of this transaction will be refunded to the investor within seven (7) Business Days of the Manager's receipt of the repurchase request.